

EXHIBIT 5

Lisa N. Himes

From: Lisa N. Himes
Sent: Wednesday, July 17, 2019 5:04 PM
To: foiapa
Subject: RE: FOIA Request Clarified

Yes, but the FOIA request was not attached. Thanks.

From: foiapa <foiapa@sec.gov>
Sent: Wednesday, July 17, 2019 4:53 PM
To: Lisa N. Himes <LHimes@rjo.com>
Cc: foiapa <foiapa@sec.gov>
Subject: RE: FOIA Request Clarified

Thanks Lisa. Here's a correction to the email. Let me know if this is right.

Good afternoon, Lisa. Here's the email confirmation we discussed on the phone earlier today. In accordance with our phone conversation, your FOIA request (attached) is clarified to be for the following records. Please email me confirmation that my understanding is correct. Thanks

- Emails dated from January 1, 2013 through the present:
 - A. Between Genentech-Roche and the SEC including all of its staff, employees and agents, referencing the below companies/individuals.
 - 1. Nova Oculus Partners, LLC,
 - 2. The Eye Machine, LLC,
 - 3. Peter Pocklington,
 - 4. Lantson E. Eldred, and
 - 5. AMC Holdings Co., LLC
 - B. Between Regeneron Pharmaceuticals, Inc. and the SEC including all of its staff, employees and agents, referencing the below companies/individuals.
 - 1. Nova Oculus Partners, LLC,
 - 2. The Eye Machine, LLC,
 - 3. Peter Pocklington,
 - 4. Lantson E. Eldred, and
 - 5. AMC Holdings Co., LLC
 - C. Between Novartis US and the SEC including all of its staff, employees and agents, referencing the below companies/individuals.
 - 1. Nova Oculus Partners, LLC,
 - 2. The Eye Machine, LLC,
 - 3. Peter Pocklington,
 - 4. Lantson E. Eldred, and
 - 5. AMC Holdings Co., LLC

- D. Between Alcon and the SEC including all of its staff, employees and agents, referencing the below companies/individuals.
1. Nova Oculus Partners, LLC,
 2. The Eye Machine, LLC,
 3. Peter Pocklington,
 4. Lantson E. Eldred, and
 5. AMC Holdings Co., LLC
- E. Between Bausch & Lomb and the SEC including all of its staff, employees and agents, referencing the below companies/individuals.
1. Nova Oculus Partners, LLC,
 2. The Eye Machine, LLC,
 3. Peter Pocklington,
 4. Lantson E. Eldred, and
 5. AMC Holdings Co., LLC
- F. All emails from January 1, 2013 through the present, referencing the below companies/individuals.
1. Nova Oculus Partners, LLC,
 2. The Eye Machine, LLC,
 3. Peter Pocklington,
 4. Lantson E. Eldred, and
 5. AMC Holdings Co., LLC

From: Lisa N. Himes [mailto:LHimes@rjo.com]

Sent: Wednesday, July 17, 2019 4:43 PM

To: Ovall, Jeffery L.

Cc: foiapa

Subject: RE: FOIA Request Clarified

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Jeffery,

Yes, your email is correct with the following correction – the items listed in 1-5 for each request are companies and individuals, not just companies. It may be easier if you correct your email below to note that they are both companies and individuals.

Your clarification of the request simply states that the communications or records requested in the FOIA request are emails. The rest of the request is the same. When you say “the SEC” that should include all SEC agents, etc., as described in the FOIA request.

Thanks,
Lisa

Lisa Norrett Himes | Of Counsel

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Notice to recipient: This email is meant for only the intended recipient(s) of the transmission and may be privileged by law. If you receive this email in error, please notify us immediately. Do not print, copy, or disseminate it. Please delete the email from your system. Thank you.

From: Ovall, Jeffery L. <OvallJ@SEC.GOV>
Sent: Wednesday, July 17, 2019 4:06 PM
To: Lisa N. Himes <LHimes@rjo.com>
Cc: foiapa <foiapa@sec.gov>
Subject: FOIA Request Clarified

Good afternoon, Lisa. Here's the email confirmation we discussed on the phone earlier today. In accordance with our phone conversation, your FOIA request (attached) is clarified to be for the following records. Please email me confirmation that my understanding is correct. Thanks

- Emails dated from January 1, 2013 through the present:

A. Between Genentech-Roche and the SEC referencing the below companies.

6. Nova Oculus Partners, LLC,
7. The Eye Machine, LLC,
8. Peter Pocklington,
9. Lantson E. Eldred, and
10. AMC Holdings Co., LLC

B. Between Regeneron Pharmaceuticals, Inc. and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

C. Between Novartis US and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

D. Between Alcon and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
 2. The Eye Machine, LLC,
 3. Peter Pocklington,
 4. Lantson E. Eldred, and
 5. AMC Holdings Co., LLC

E. Between Bausch & Lomb and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
 2. The Eye Machine, LLC,
 3. Peter Pocklington,
 4. Lantson E. Eldred, and
 5. AMC Holdings Co., LLC

F. All emails from January 1, 2013 through the present, referencing the below companies.

1. Nova Oculus Partners, LLC,
 2. The Eye Machine, LLC,
 3. Peter Pocklington,
 4. Lantson E. Eldred, and
 5. AMC Holdings Co., LLC

Jeff Ovall

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